

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Connors (et al.)**  
Serial No.: **09/870,398**  
Filed: **5/29/2001**



Attorney Docket: **0015-013**  
Examiner: **Unknown**  
Group Art Unit: **2644**

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**FEB 19 2002**

**Technology Center 2600**

Title: **Personal Communications**

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ORIGINALLY FILED**

Assistant Commissioner for Patents  
Washington, DC 20231

**TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT**

Enclosed for filing in the above-referenced application are the following:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. 9 cited references; and
4. Return receipt postcard.

Respectfully Submitted,

Date: 12/11/01

Larry E. Henneman, Jr.  
Larry E. Henneman, Jr., Reg. No. 41,063  
Henneman & Saunders  
121 E. 11<sup>th</sup> Street  
Tracy, CA 95376

**CERTIFICATE OF MAILING (37 CFR 1.8(A))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: 12/11/01

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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56, §1.97, and §1.98, Applicant(s) bring(s) the following documents to the Examiner's attention in the above-referenced application:

1. U.S. Patent No. 3,862,378, issued 01/21/75 to Norris;
2. U.S. Patent No. 4,273,969, issued 06/16/81 to Foley et al.;
3. U.S. Patent No. 4,972,468, issued 11/20/90 to Murase et al.;
4. U.S. Patent No. 5,373,555, issued 12/13/94 to Norris et al.;
5. U.S. Patent No. 5,412,736, issued 05/02/95 to Keliiliki;
6. U.S. Patent No. 5,729,615, issued 03/17/98 to Yang;
7. U.S. Patent No. 5,812,659, issued 09/22/98 to Mauney et al.;
8. U.S. Patent No. Des. 411,540, issued 06/29/99 to Mavrakis et al. and;
9. U.S. Patent No. 6,181,801, issued 01/30/01 to Puthuff et al.

A PTO form 1449 listing these documents is enclosed.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

To Applicant's knowledge, a first office action on the merits has not yet been mailed in this application. Therefore, no fee is due. 35 CFR §1.97(b)(3).

Respectfully Submitted,

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